

Online Account Opening User Guide



Thank you for choosing to open an Account with Lim & Tan Securities.

Once you have all documentation and information ready, the Account Application process will take between 5-10 minutes to complete. If you use MyInfo, this will help to speed up the process.

Start your trading journey with us by clicking on "OPEN AN ACCOUNT". We look forward to serving your trading needs.

For existing clients – Open New Account from Online Trading system

Click here



The screenshot shows the top navigation bar of the online trading system. The header includes the logo 'limtan.com.sg' and the text 'Online trading arm of Lim & Tan Securities Pte Ltd'. A 'Welcome: Wednesday' message is on the right. The main navigation menu contains several items: 'myPage', 'Mkt Prices', 'Trade', 'Portfolio', 'Account Mgmt', 'Foreign Mkt', 'Research', 'Listed Co', 'Loyalty', and 'News'. Below this, a secondary menu includes 'Outstanding Positions', 'Account Balance', 'Daily Trust Movement', 'Trust Account Withdrawal', 'Exchange Rate', 'E-Statement', 'CKA', 'CAR', 'SBL', 'Apply for DBS Giro', and 'Open New Account'. The 'Open New Account' button is highlighted with a red rectangular border. To the right of this menu is a '- Quick Launch -' dropdown. Below the navigation bar, there is a 'REFRESH' button on the left and three dropdown menus on the right: '0108888 (Cash)', 'All Markets', and 'All Payment Types'. A timestamp 'Last retrieved on Wednesday, 29 Jun, 2022 at 11:06:59 AM' is displayed. A red message states 'There are no unsettled contracts.' and a disclaimer below it reads '* Contracts with * indicated are overdue. Please make your payment to us immediately to avoid force-selling of position.'

ACCOUNT OPENING APPLICATION FORM

Thank you for choosing Lim & Tan Securities. Please have the following information and documents ready when applying for the trading account.

- 12 digit CDP Account Number.
- Singapore bank account number if applying for Electronic Payment for Shares (EPS).
- Foreign Tax Identification Number (TIN), if you are a Foreign Tax Resident.

Citizenship	Required Documents
Singaporean/Singapore Permanent Residents	<ul style="list-style-type: none"> • NRIC (Front & Back)
Malaysian	<ul style="list-style-type: none"> • Malaysian I/C (Front & Back) • Work Permit/Employment Pass (where applicable) • Proof of Residential Address (Statement within the last 3 months from Banks/Government Body) if address differs from I/C
Other Nationalities	<ul style="list-style-type: none"> • Valid Passport (6 months validity) • Identity Card (Front & Back) • Work Permit/Employment Pass (where applicable) • Proof of Residential Address (Statement within the last 3 months from Banks/Government Body)
Supporting Documents	<ul style="list-style-type: none"> • Latest Income document (eg Pay Slip, IR8A) • CDP Statement

We would recommend that you have the Required Documents ready before you start the process.

But should you be unable to complete it in one go, the form is automatically saved and you can carry on from where you left off by logging in here.

Select one to Start



New Lim & Tan Client

Existing Lim & Tan Client



Want to check your application status or Continue your Application? [Click here to login](#)

Once complete, you can also check the status here.

Please select the type of Online Trading Accounts.

CUSTOMER TYPE

Individual	Joint
------------	-------

Choose your customer type here.

ACCOUNT TYPES

Cash Trading Account	Margin Trading Account	Share Collateralised Trading/Cash Collateralised Trading
Contract For Difference (SGX)	US ATP Short	

Choose your product type here.

Select the Customer and Account Type.

Click "Start" to proceed.



Please complete the registration form.

REGISTRATION

First Name

Please enter your First Name

Surname

Please enter your Surname

Email

Please enter an Email Address

Confirm Email

In order to protect your account, please make sure your password has the following

- one lowercase character
- one uppercase character
- one number
- special character
- 8 character minimum

New Password

Please create a Password

Confirm New Password

Your CDP Account No 

Please enter a valid CDP Number E.g. 1681xxxxxxx

Click [here](#) to open CDP account

YOUNG INVESTOR (18 Years - Below 21 Years)

Yes No

Your login
Username will be
your email address.

This is your
login password.

12 digit CDP number

Click here if you do not have a CDP number.

Note:
The login Username and Password in
this Registration page are for the
purpose on completing the electronic
Account Application form only.

For the Online Trading system, a
separate UserID will be emailed to you
upon completion of the Account
Opening Process. You will also be
guided to set up a login/ Trading
password on our website.

Click "Next Step" to proceed.



SIGN UP FOR YOUR ACCOUNT

Thank you for choosing Lim & Tan Securities. Please select the option to proceed with account opening application.

Continue with Myinfo

(Recommended)

Myinfo enables you to fill up basic particulars such as name, NRIC number and address in online forms using information retrieved from government agencies (Singaporean and PRs only). User is required to login to Singpass and provide consent to share their data.

Continue with Myinfo using your Singpass credentials.

This is a convenient way of auto populating your particulars to the Account Application Form.

or

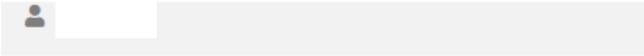
Online Form

Continue with Online Form.

You will be requested to fill in all fields in the application form manually.

Using Online Form

< Back to Summaries



- Personal Details** ● ● ● >
- Employment and Finance ● >
- Payment Methods ● >
- Tax Residency ● >
- Account Relationship Details ● ● >
- Upload Documents ● >
- Declaration and Signature ● ● >

PERSONAL DETAILS

Title

Please provide your title

Name (as per ID document)

Please provide your name (as per ID document)

Hanyu Pinyin Name

Email

Primary Contact Type

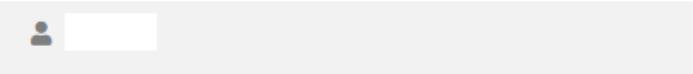
Please provide a primary contact type

Primary Contact No.

International Code and Phone number required

Secondary Contact Type

Select country code from the dropdown and input your contact no. →



- Personal Details** ●●● >
- Employment and Finance ● >
- Payment Methods ● >
- Tax Residency ● >
- Account Relationship Details ●● >
- Upload Documents ● >
- Declaration and Signature ●● >

PERSONAL DETAILS

Secondary Contact Type

Mobile ▾

Secondary Contact No.

▾ Enter number...

Preferred Trading Representative (TR) to service your account
A TR will be assigned to you if you do not have a preferred TR.

▾ 🔍

← If you have a preferred Trading Representative (TR), please obtain his/her TR Code and select from the drop down list.

Please select your Cash Trading Account Type (Please do not select Broker-Assisted if you wish to trade online)

- Broker-Assisted
- Online Trading
- Both Broker-Assisted and Online Trading

Are you referred by any client? Yes No

Please enter referrer's client code or name

← If you have been referred by one of our clients, please obtain his/her client code/name and input this here. This is to ensure any promotional rewards are credited to the correct client.

Did you hear of us from one of our advertisements? If yes, please select the correct marketing reference to ensure you receive the related promotional rewards (if any). Otherwise, please select Not Applicable.

Please Select ▾

Please select a Promo Code

Click "Next Step" to proceed.



Client here to download a copy of your forms. →

☰ **Next Step**



Personal Details ●●● >

Employment and Finance ● >

Payment Methods ● >

Tax Residency ● >

Account Relationship Details ●● >

Upload Documents ● >

Declaration and Signature ●● >

PERSONAL DETAILS

Primary ID Type

Please provide your primary id type

Primary ID Issued Country

Please provide your primary id issued country

NRIC/Passport

NRIC/Passport is required

Birth Date

Please provide a valid birth date

Gender

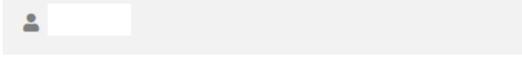
- Male
- Female

Please provide your gender

Marital Status

- Single
- Married
- Others

Please provide your marital status



- Personal Details** ●●● >
- Employment and Finance ● >
- Payment Methods ● >
- Tax Residency ● >
- Account Relationship Details ●● >
- Upload Documents ● >
- Declaration and Signature ●● >

PERSONAL DETAILS

Country of Nationality

Mother's Maiden Name

Residential Address

Residential Address ×

Address Line 1

Address Line 2

Address Line 3

Address Line 4

Country

Postal Code / Zip

Mailing Address different from your Residential Address?



- Personal Details ••• >
- Employment and Finance** • >
- Payment Methods • >
- Tax Residency • >
- Account Relationship Details •• >
- Upload Documents • >
- Declaration and Signature •• >

EMPLOYMENT AND FINANCE

Employment Status

Select ⌵

SOURCE OF FUNDS FOR THIS TRADING ACCOUNT

- Savings
- Inheritance
- Salary
- Rental Income
- CPF / Pension
- Business Income
- Others

I have been bankrupt / discharged bankrupt Yes No

I have a delinquent record with stockbroking firm(s) Yes No

Net Worth

- Up to \$100,000
- \$100,000 to \$500,000
- \$500,00 to \$1M
- \$1M to \$2M
- Above \$2M



- Personal Details ● ● ● >
- Employment and Finance** ● >
- Payment Methods ● >
- Tax Residency ● >
- Account Relationship Details ● ● >
- Upload Documents ● >
- Declaration and Signature ● ● >

Net Investable Funds (Excluding Primary Property)

- Up to \$20,000
- \$20,001 to \$40,000
- \$40,001 to \$60,000
- \$60,001 to \$80,000
- \$80,001 to \$100,000
- \$100,001 to \$300,000
- \$300,001 to \$500,000
- \$500,001 to \$1,000,000
- Above \$1,000,000

Property Type

- HDB
- Apartment / Condominium
- Landed

Property Ownership

- Owned
- Rented

Name of Private Properties Owned

Enter Text here

	
Personal Details	••• >
Employment and Finance	•• >
Payment Methods	• >
Tax Residency	• >
Account Relationship Details	•• >
Upload Documents	• >
Declaration and Signature	•• >

Please complete only if you wish to be treated as an Accredited Investor as defined.

EMPLOYMENT AND FINANCE

Accredited Investor (AIs are eligible to transact in SIP products without any declaration required)

I (the "Accredited Investor") confirm that I wish to be treated as an accredited investor as defined in section 4A of the SFA. I acknowledge and accept that, having opted in to accredited investor status, this treatment will apply to all of my transactions with you. My basis for opting to be treated as an accredited investor is that my:

(a) Net personal assets exceed S\$2,000,000 (or its equivalent in a foreign currency) in value, of which no more than S\$1,000,000 (or its equivalent in a foreign currency) in value is contributed by the net estimated fair market value of my primary residence; You may calculate your net personal assets by subtracting your liabilities from the total value of your assets. Supporting documents required (except for the title deed, each to be dated no earlier than 3 months before the date of submitting this form):

- your latest bank account statement or CPF statement;
- your latest statement of holdings from CDP or brokerage firm(s); or
- a copy of the title deed of your primary residence.

(b) financial assets (net of any related liabilities) exceed S\$1,000,000 in value (or its equivalent in a foreign currency), or

You may calculate your financial assets by totalling the value of any of the following assets that you own:

- a deposit as defined in section 4B of the Banking Act (Cap. 19);
- an investment product as defined in section 2(1) of the Financial Advisers Act (Cap. 110); or
- any other asset prescribed as a "financial asset" for purposes of establishing accredited investor status by MAS.

(c) Income in the preceding twelve (12) months is not less than S\$300,000 (or its equivalent in a foreign currency). Supporting documents required (each to be dated no earlier than 3 months before the date of submitting this form):

- a copy of your pay slip, or
- copy of your employment contract stating your position and income.

I confirm that I wish to be treated as an accredited investor



- Personal Details ●●● >
- Employment and Finance ●●● >
- Payment Methods** ●●● >
- Tax Residency ●●● >
- Account Relationship Details ●●● >
- Upload Documents ●●● >
- Declaration and Signature ●●● >

PAYMENT METHODS

Please select either Electronic Payment for Shares (EPS) or GIRO.

Payment Method for Cash Trading Account

GIRO

Electronic Payment for Shares (EPS)

Bank

DBS

Bank

DBS

E-Giro application will be available via Lim & Tan Trading platform upon successful application under "Account Mgmt" tab.

Account Number

Please enter numeric characters only, maximum of 14 characters

Settlement via Trust Account (not applicable for Margin and CPF/SRS)

Would you like to set up a foreign currency trust instruction?

Yes No

Would you like to set up a foreign currency trust instruction? is required

Do you want to trade using your CPF funds?

Yes No

Please select bank

Select

Please select a bank

Please enter CPF IA No

Do you want to trade using your SRS funds?

Yes No

Please select bank

Select

Please select a bank

Please enter SRS Account No

< Back to Summaries



Personal Details



Employment and Finance



Payment Methods



Tax Residency



Account Relationship Details



Upload Documents



Declaration and Signature



TAX RESIDENCY

I declare that I am a

- Singapore Tax Resident
- Foreign Tax Resident

I wish to trade in U.S. shares?

Yes No

Note: If you wish to trade in U.S. shares and you are not a U.S. tax resident, please complete the W8-BEN form. U.S tax residents are not allowed to open account with us to trade in U.S. shares.



Previous Section

Next Section



- Personal Details ●●● >
- Employment and Finance ●● >
- Payment Methods ● >
- Tax Residency ● >
- Account Relationship Details** ●● >
- Upload Documents ● >
- Declaration and Signature ●● >

ACCOUNT RELATIONSHIP DETAILS

Are you related to any employee/Trading Representative of Lim & Tan Securities Pte Ltd?

Yes No

Please state name

Please state relationship

Do you have any account(s) with other broking firms?

Yes No

Please state the firm(s)

Enter Text here



[Previous Section](#)

[Next Step](#)



Personal Details



Employment and Finance



Payment Methods



Tax Residency



Account Relationship Details



Upload Documents



Declaration and Signature



ACCOUNT RELATIONSHIP DETAILS

Are you a close associate of a Politically Exposed Person (PEP) or do you or your immediate family members hold or are being considered for a prominent public position?

Yes No

POLITICALLY EXPOSED PERSON

POLITICALLY EXPOSED PERSON 1



Name / NRIC

Current position Held/Position being considered or sought

Relationship to Applicant



[Previous Step](#)

[Next Step](#)



- Personal Details ••• >
- Employment and Finance •• >
- Payment Methods • >
- Tax Residency • >
- Account Relationship Details •• >
- Upload Documents** • >
- Declaration and Signature •• >

UPLOAD DOCUMENTS

Please upload the documents below. Supported formats are PDF and JPEG

***Link Card For non-credit card, please provide both Front and Back image**

Drag your files here or [browse](#)

***NRIC/Identity Card (Front and Back)**

Drag your files here or [browse](#)

***Accredited Investor Supporting Documents**

Drag your files here or [browse](#)

Other Supporting Documents

Drag your files here or [browse](#)

We would encourage you to attach copies of your latest Payslip, Income statement &/or CDP Statement here. More than one file can be attached.



This will allow us to better access your application and provide a trading limit that will better meet your trading needs.

	
Personal Details	●●● >
Employment and Finance	●●● >
Payment Methods	●●● >
Tax Residency	●●● >
Account Relationship Details	●●● >
Upload Documents	●●● >
Declaration and Signature	●●● >

DECLARATION AND SIGNATURE

Declaration and Acknowledgement

(i) I agree to be bound by all rules, regulations, customs, practices, notices, directives, advice or recommendations of the Singapore Exchange Securities Trading Limited and any relevant regulatory body as are in force from time to time which govern the purchase and sale of securities.

(ii) I confirm that I have received, read and understood all the contents of the "Guide And Cautionary Notes In Applying For/Continuing With An Account With Lim & Tan Securities" and the Terms And Conditions Governing Securities Trading Accounts (Ref: 12/21) and all other documents annexed hereto all of which together with this Account Opening Form shall be construed as containing the terms agreed between Lim & Tan Securities Pte Ltd and myself.

(iii) Tax Compliance Representation and Warranty.

a. I hereby represent and warrant to Lim & Tan Securities Pte Ltd that I will at all times remain in full compliance with all laws to which I am subject including, without limitation, all tax laws and regulations, exchange control requirements and registration requirements with regards to my funds and assets; and

b. Without detracting from the generality of (iii)(a) above, I also represent and declare that any and all funds and assets I place with Lim & Tan Securities Pte Ltd, and any profits that may accrue from their use will be dealt with in full compliance with the tax laws of the countries where I am domiciled, resident or deemed resident in, employed, engaged in any business or trade, of which I am a citizen or general or permanent resident, and/or which I am legally otherwise subject to.

(iv) In consideration of Lim & Tan Securities Pte Ltd accepting my application herein, I hereby agree to be bound by the aforesaid terms and as may be amended from time to time in accordance with the Terms And Conditions Governing Securities Trading Accounts. I confirm that:

a. I make the application herein for myself, and not for and on behalf of any other person or party;

b. The application herein is on the basis that I shall be the legal and the beneficial owner of the account applied for.

Terms and Conditions.pdf



I have read and understood the conditions governing Lim & Tan Securities Accounts and I hereby consent to be bound by its terms in all of my dealings with you.

Risk Warning Statement.pdf



I acknowledge that I have read the Risk Warning Statement and understood its contents.

I agree to receive marketing information and materials relating to products and services offered or distributed by Lim & Tan Securities (LTS) via voice calls, fax and all forms of instant messaging. I understand that this consent will override my registration with the National Do-Not-Call register (if any) and will remain effective until I inform LTS of any changes.

Please read and acknowledge. 



Personal Details ••• >

Employment and Finance • >

Payment Methods • >

Tax Residency • >

Account Relationship Details •• >

Upload Documents • >

Declaration and Signature ••• >

Complete your Application



[Click here to submit your application form](#)

DECLARATION AND SIGNATURE

Signature
The signature will be used for authentication purposes.

Requirements for signature.

- The following will NOT be accepted:
 - Name stamp
 - Printed name
 - Signature using a non-black/blue ink pen or pencil
 - Signature on coloured or patterned paper
- The entire signature must be within the uploaded image
- The signature must be clear and visible

✕

Please sign here or upload your signature

Upload Signature

Date

30/06/2022 

Please do not tick. For official use only.

Your application has been submitted successfully.

Application has been sent for Verification

APPLICATIONS

Here you can find a list of all the applications you have submitted along with the status of your applications. If you would like to add a new product type or service type, hit the Add Application button below.

Customer 	Account(s)	Status 	Date Created 	Last Updated 
<input type="checkbox"/> Individual	Cash Trading Account	 Pending Verification	27/06/2022 19:25:54	27/06/2022 19:33:13



- (1) Check Account Application Status
- (2) Continue completion of Account Application form

ACCOUNT OPENING APPLICATION FORM

Thank you for choosing Lim & Tan Securities. Please have the following information and documents ready when applying for the trading account.

- 12 digit CDP Account Number.
- Singapore bank account number if applying for Electronic Payment for Shares (EPS).
- Foreign Tax Identification Number (TIN), if you are a Foreign Tax Resident.

Citizenship	Required Documents
Singaporean/Singapore Permanent Residents	<ul style="list-style-type: none"> • NRIC (Front & Back)
Malaysian	<ul style="list-style-type: none"> • Malaysian I/C (Front & Back) • Work Permit/Employment Pass (where applicable) • Proof of Residential Address (Statement within the last 3 months from Banks/Government Body) if address differs from I/C
Other Nationalities	<ul style="list-style-type: none"> • Valid Passport (6 months validity) • Identity Card (Front & Back) • Work Permit/Employment Pass (where applicable) • Proof of Residential Address (Statement within the last 3 months from Banks/Government Body)
Supporting Documents	<ul style="list-style-type: none"> • Latest Income document (eg Pay Slip, IR8A) • CDP Statement



Want to check your application status or Continue your Application? [Click here to login](#) ← **Click here**