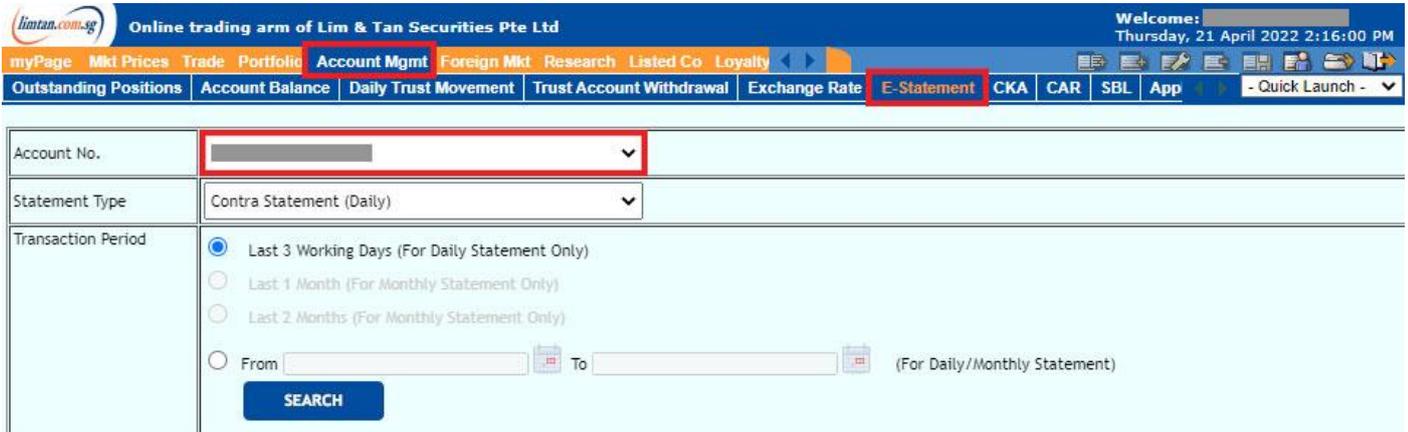


# USER GUIDE FOR E-STATEMENTS AND E-CONTRACTS

- To view your E-Statements, log in to your Online trading account, click on "Account Mgmt" followed by "E-Statement" and select the account you would like to view.

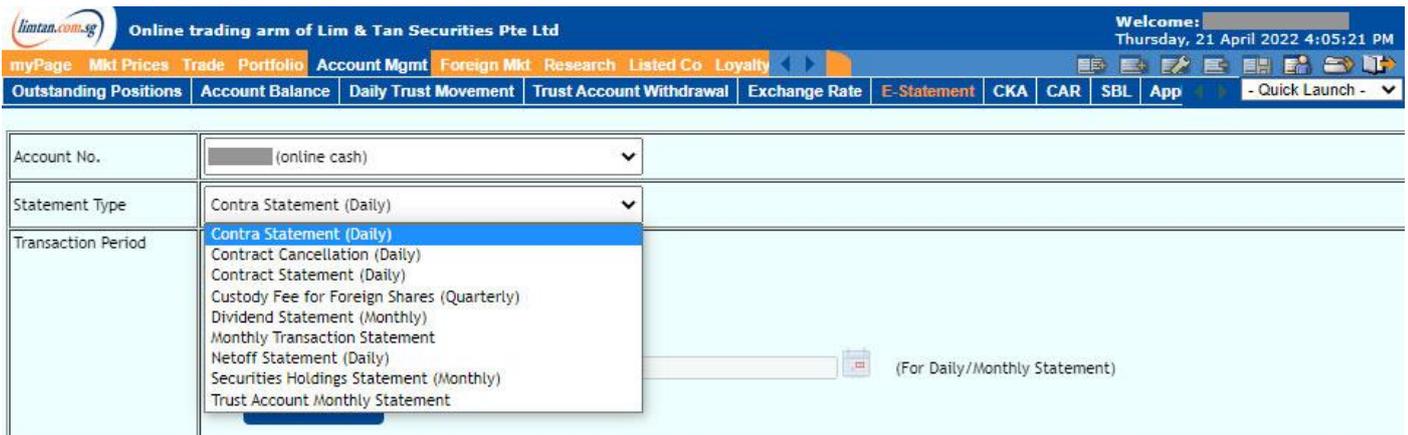


The screenshot shows the 'E-Statement' page in the online trading interface. The 'Account Mgmt' and 'E-Statement' menu items are highlighted with red boxes. The form includes the following fields:

- Account No.:** A dropdown menu with a red border.
- Statement Type:** A dropdown menu set to 'Contra Statement (Daily)'.
- Transaction Period:** Radio buttons for 'Last 3 Working Days (For Daily Statement Only)', 'Last 1 Month (For Monthly Statement Only)', and 'Last 2 Months (For Monthly Statement Only)'. There is also a 'From' and 'To' date range selector.
- SEARCH:** A blue button at the bottom.

- Select the statement type and transaction period you would like to view. The statement type available for Online Cash/Advisory Cash/CFD/Online Margin/Advisory Margin/CCT/US ATP Short accounts are as follow:

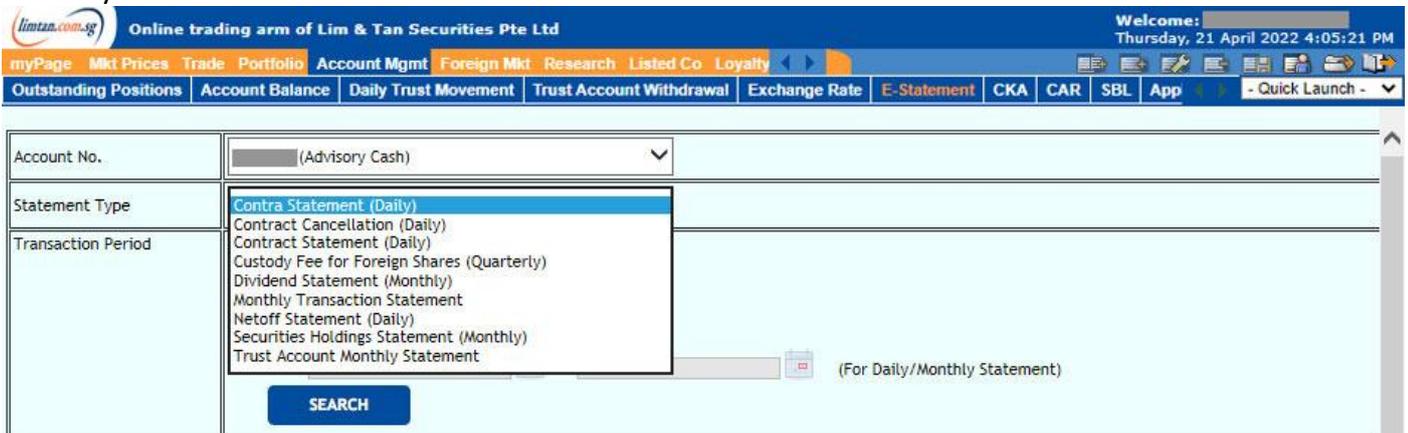
## Online Cash Account:



The screenshot shows the 'E-Statement' page for an 'online cash' account. The 'Transaction Period' dropdown menu is open, displaying the following options:

- Contra Statement (Daily)
- Contract Cancellation (Daily)
- Contract Statement (Daily)
- Custody Fee for Foreign Shares (Quarterly)
- Dividend Statement (Monthly)
- Monthly Transaction Statement
- Netoff Statement (Daily)
- Securities Holdings Statement (Monthly)
- Trust Account Monthly Statement

## Advisory Cash Account:



The screenshot shows the 'E-Statement' page for an '(Advisory Cash)' account. The 'Transaction Period' dropdown menu is open, displaying the following options:

- Contra Statement (Daily)
- Contract Cancellation (Daily)
- Contract Statement (Daily)
- Custody Fee for Foreign Shares (Quarterly)
- Dividend Statement (Monthly)
- Monthly Transaction Statement
- Netoff Statement (Daily)
- Securities Holdings Statement (Monthly)
- Trust Account Monthly Statement

# USER GUIDE FOR E-STATEMENTS AND E-CONTRACTS

## CFD Account:

The screenshot shows the 'E-Statement' selection interface for a CFD account. The header includes the 'limtan.com.sg' logo, 'Online trading arm of Lim & Tan Securities Pte Ltd', and a 'Welcome' message with the date and time: 'Thursday, 21 April 2022 4:27:52 PM'. A navigation bar contains links for 'myPage', 'Mkt Prices', 'Trade', 'Portfolio', 'Account Mgmt', 'Foreign Mkt', 'Research', 'Listed Co', and 'Loyalty'. Below this is a secondary navigation bar with 'Outstanding Positions', 'Account Balance', 'Daily Trust Movement', 'Trust Account Withdrawal', 'Exchange Rate', 'E-Statement', 'CKA', 'CAR', 'SBL', 'App', and a '- Quick Launch -' dropdown. The main form has three sections: 'Account No.' with a dropdown menu showing '(CFD)'; 'Statement Type' with a dropdown menu showing 'Daily CFD Statement'; and 'Transaction Period' with a dropdown menu showing 'Daily CFD Statement' and 'Monthly CFD Statement'. Below the dropdowns are three radio button options: 'Last 1 Month (For Monthly Statement Only)', 'Last 2 Months (For Monthly Statement Only)', and 'From [ ] To [ ] (For Daily/Monthly Statement)'. A blue 'SEARCH' button is located at the bottom of the form.

## Online Margin Account:

The screenshot shows the 'E-Statement' selection interface for an Online Margin account. The header includes the 'limtan.com.sg' logo, 'Online trading arm of Lim & Tan Securities Pte Ltd', and a 'Welcome' message with the date and time: 'Thursday, 21 April 2022 4:40:38 PM'. A navigation bar contains links for 'myPage', 'Mkt Prices', 'Trade', 'Portfolio', 'Account Mgmt', 'Foreign Mkt', 'Research', 'Listed Co', and 'Loyalty'. Below this is a secondary navigation bar with 'Outstanding Positions', 'Account Balance', 'Daily Trust Movement', 'Trust Account Withdrawal', 'Exchange Rate', 'E-Statement', 'CKA', 'CAR', 'SBL', 'App', and a '- Quick Launch -' dropdown. The main form has three sections: 'Account No.' with a dropdown menu showing '(online Margin)'; 'Statement Type' with a dropdown menu showing 'Contract Cancellation (Daily)'; and 'Transaction Period' with a dropdown menu showing 'Contract Cancellation (Daily)', 'Contract Statement (Daily)', 'Daily Margin', 'Dividend Statement (Monthly)', 'Margin Monthly Statement', and 'Margin Monthly Stock Position'. Below the dropdowns are three radio button options: 'Last 1 Month (For Monthly Statement Only)', 'Last 2 Months (For Monthly Statement Only)', and 'From [ ] To [ ] (For Daily/Monthly Statement)'. A blue 'SEARCH' button is located at the bottom of the form.

## Advisory Margin Account:

The screenshot shows the 'E-Statement' selection interface for an Advisory Margin account. The header includes the 'limtan.com.sg' logo, 'Online trading arm of Lim & Tan Securities Pte Ltd', and a 'Welcome' message with the date and time: 'Thursday, 21 April 2022 5:02:54 PM'. A navigation bar contains links for 'myPage', 'Mkt Prices', 'Trade', 'Portfolio', 'Account Mgmt', 'Foreign Mkt', 'Research', 'Listed Co', and 'Loyalty'. Below this is a secondary navigation bar with 'Outstanding Positions', 'Account Balance', 'Daily Trust Movement', 'Trust Account Withdrawal', 'Exchange Rate', 'E-Statement', 'CKA', 'CAR', 'SBL', 'App', and a '- Quick Launch -' dropdown. The main form has three sections: 'Account No.' with a dropdown menu showing '(Advisory Margin)'; 'Statement Type' with a dropdown menu showing 'Contract Cancellation (Daily)'; and 'Transaction Period' with a dropdown menu showing 'Contract Cancellation (Daily)', 'Contract Statement (Daily)', 'Daily Margin', 'Dividend Statement (Monthly)', 'Margin Monthly Statement', and 'Margin Monthly Stock Position'. Below the dropdowns are three radio button options: 'Last 1 Month (For Monthly Statement Only)', 'Last 2 Months (For Monthly Statement Only)', and 'From [ ] To [ ] (For Daily/Monthly Statement)'. A blue 'SEARCH' button is located at the bottom of the form.

# USER GUIDE FOR E-STATEMENTS AND E-CONTRACTS

## CCT Account:

The screenshot shows the CCT Account E-Statement selection interface. At the top, there is a navigation bar with the following items: myPage, Mkt Prices, Trade, Portfolio, Account Mgmt, Foreign Mkt, Research, Listed Co, and Loyalty. Below this is a secondary navigation bar with: Outstanding Positions, Account Balance, Daily Trust Movement, Trust Account Withdrawal, Exchange Rate, E-Statement, CKA, CAR, SBL, App, and a Quick Launch dropdown. The main content area has a light blue background and contains the following fields:

- Account No.:** A dropdown menu showing "(CCDT online)".
- Statement Type:** A dropdown menu with "Contra Statement (Daily)" selected.
- Transaction Period:** A dropdown menu with the same list of options as the Statement Type dropdown.
- From/To:** Two input fields for selecting a date range, with a "(For Daily/Monthly Statement)" label.
- SEARCH:** A blue button to execute the search.

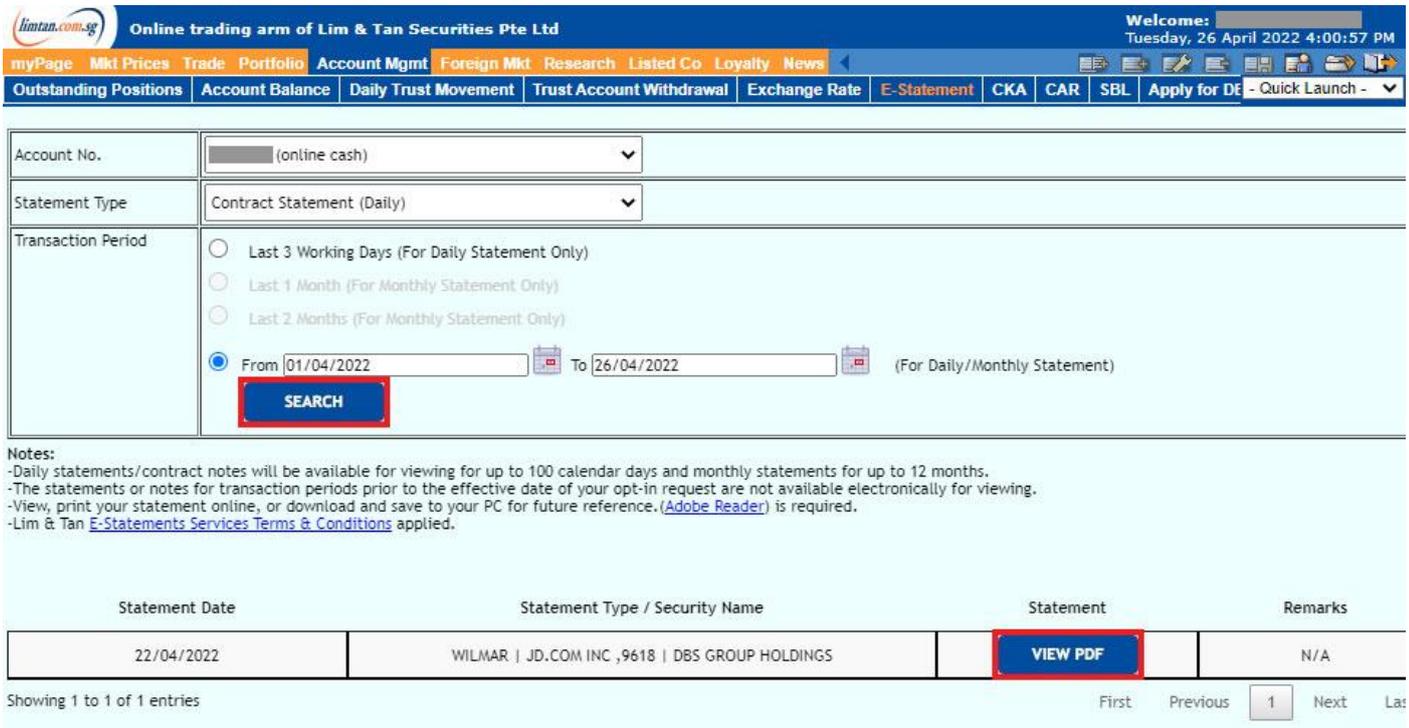
## US ATP Short Account:

The screenshot shows the US ATP Short Account E-Statement selection interface. It has the same navigation bars as the CCT Account interface. The main content area has a light blue background and contains the following fields:

- Account No.:** A dropdown menu showing "(US ATP Short)".
- Statement Type:** A dropdown menu with "Contra Statement (Daily)" selected.
- Transaction Period:** A dropdown menu with the following options: Contra Statement (Daily), Contract Cancellation (Daily), Contract Statement (Daily), Custody Fee for Foreign Shares (Quarterly), Dividend Statement (Monthly), Monthly Transaction Statement, Netoff Statement (Daily), Securities Holdings Statement (Monthly), and Trust Account Monthly Statement.
- From/To:** Two input fields for selecting a date range, with a "(For Daily/Monthly Statement)" label.
- SEARCH:** A blue button to execute the search.

# USER GUIDE FOR E-STATEMENTS AND E-CONTRACTS

- After you have selected the account, statement type and transaction period, click "Search". To view your selected statement, click "View PDF".



Online trading arm of Lim & Tan Securities Pte Ltd

Welcome: Tuesday, 26 April 2022 4:00:57 PM

myPage Mkt Prices Trade Portfolio Account Mgmt Foreign Mkt Research Listed Co Loyalty News

Outstanding Positions Account Balance Daily Trust Movement Trust Account Withdrawal Exchange Rate E-Statement CKA CAR SBL Apply for DE - Quick Launch -

Account No. (online cash)

Statement Type Contract Statement (Daily)

Transaction Period

Last 3 Working Days (For Daily Statement Only)  
 Last 1 Month (For Monthly Statement Only)  
 Last 2 Months (For Monthly Statement Only)  
 From 01/04/2022 To 26/04/2022 (For Daily/Monthly Statement)

**SEARCH**

**Notes:**

- Daily statements/contract notes will be available for viewing for up to 100 calendar days and monthly statements for up to 12 months.
- The statements or notes for transaction periods prior to the effective date of your opt-in request are not available electronically for viewing.
- View, print your statement online, or download and save to your PC for future reference. (Adobe Reader) is required.
- Lim & Tan [E-Statements Services Terms & Conditions](#) applied.

| Statement Date | Statement Type / Security Name                 | Statement       | Remarks |
|----------------|--|-----------------|---------|
| 22/04/2022     | WILMAR   JD.COM INC ,9618   DBS GROUP HOLDINGS | <b>VIEW PDF</b> | N/A     |

Showing 1 to 1 of 1 entries

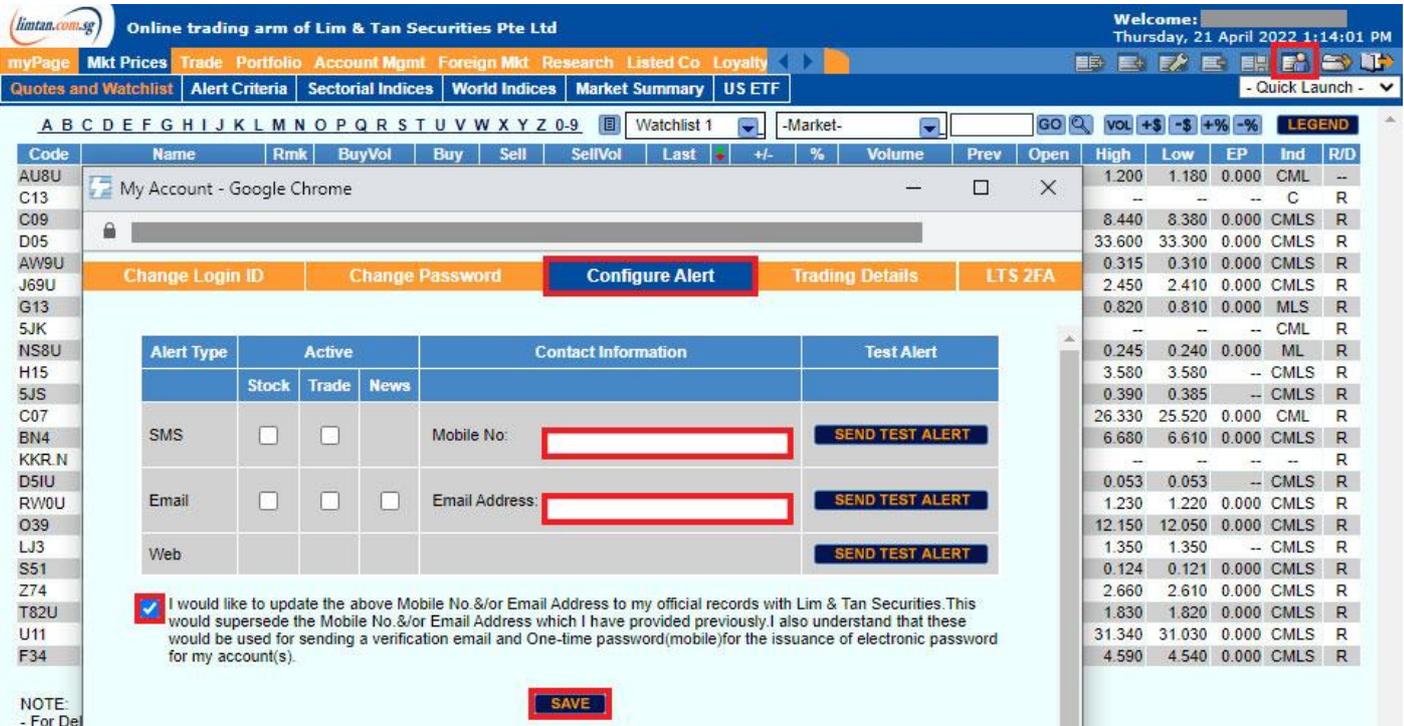
First Previous 1 Next Last

### Important Note:

Daily statements/contract notes will be available for viewing for up to 100 calendar days and monthly statements for up to 12 months. The statements or notes for transaction periods prior to the effective date of your opt-in request are not available electronically for viewing.

# USER GUIDE FOR E-STATEMENTS AND E-CONTRACTS

- If you want to update your email address, click on "Personal Configurations" followed by "Configure Alert". Checked on the box "I would like to update the above Mobile No. &/or Email Address to my official records with Lim & Tan Securities..." and click "Save".



Online trading arm of Lim & Tan Securities Pte Ltd

Welcome: Thursday, 21 April 2022 1:14:01 PM

myPage Mkt Prices Trade Portfolio Account Mgmt Foreign Mkt Research Listed Co Loyalty

Quotes and Watchlist Alert Criteria Sectorial Indices World Indices Market Summary US ETF

Watchlist 1 -Market-

| Code  | Name                       | Rmk | BuyVol | Buy | Sell | SellVol | Last | +/- | % | Volume | Prev | Open | High   | Low    | EP    | Ind  | R/D |
|-------|----------------------------|-----|--------|-----|------|---------|------|-----|---|--------|------|------|--------|--------|-------|------|-----|
| AU8U  |                            |     |        |     |      |         |      |     |   |        |      |      | 1.200  | 1.180  | 0.000 | CML  | --  |
| C13   | My Account - Google Chrome |     |        |     |      |         |      |     |   |        |      |      | --     | --     | --    | C    | R   |
| C09   |                            |     |        |     |      |         |      |     |   |        |      |      | 8.440  | 8.380  | 0.000 | CMLS | R   |
| D05   |                            |     |        |     |      |         |      |     |   |        |      |      | 33.600 | 33.300 | 0.000 | CMLS | R   |
| AW9U  |                            |     |        |     |      |         |      |     |   |        |      |      | 0.315  | 0.310  | 0.000 | CMLS | R   |
| J69U  |                            |     |        |     |      |         |      |     |   |        |      |      | 2.450  | 2.410  | 0.000 | CMLS | R   |
| G13   |                            |     |        |     |      |         |      |     |   |        |      |      | 0.820  | 0.810  | 0.000 | MLS  | R   |
| 5JK   |                            |     |        |     |      |         |      |     |   |        |      |      | --     | --     | --    | CML  | R   |
| NS8U  |                            |     |        |     |      |         |      |     |   |        |      |      | 0.245  | 0.240  | 0.000 | ML   | R   |
| H15   |                            |     |        |     |      |         |      |     |   |        |      |      | 3.580  | 3.580  | --    | CMLS | R   |
| 5JS   |                            |     |        |     |      |         |      |     |   |        |      |      | 0.390  | 0.385  | --    | CMLS | R   |
| C07   |                            |     |        |     |      |         |      |     |   |        |      |      | 26.330 | 25.520 | 0.000 | CML  | R   |
| BN4   |                            |     |        |     |      |         |      |     |   |        |      |      | 6.680  | 6.610  | 0.000 | CMLS | R   |
| KKR.N |                            |     |        |     |      |         |      |     |   |        |      |      | --     | --     | --    | --   | R   |
| D5IU  |                            |     |        |     |      |         |      |     |   |        |      |      | 0.053  | 0.053  | --    | CMLS | R   |
| RW0U  |                            |     |        |     |      |         |      |     |   |        |      |      | 1.230  | 1.220  | 0.000 | CMLS | R   |
| O39   |                            |     |        |     |      |         |      |     |   |        |      |      | 12.150 | 12.050 | 0.000 | CMLS | R   |
| LJ3   |                            |     |        |     |      |         |      |     |   |        |      |      | 1.350  | 1.350  | --    | CMLS | R   |
| S51   |                            |     |        |     |      |         |      |     |   |        |      |      | 0.124  | 0.121  | 0.000 | CMLS | R   |
| Z74   |                            |     |        |     |      |         |      |     |   |        |      |      | 2.660  | 2.610  | 0.000 | CMLS | R   |
| T82U  |                            |     |        |     |      |         |      |     |   |        |      |      | 1.830  | 1.820  | 0.000 | CMLS | R   |
| U11   |                            |     |        |     |      |         |      |     |   |        |      |      | 31.340 | 31.030 | 0.000 | CMLS | R   |
| F34   |                            |     |        |     |      |         |      |     |   |        |      |      | 4.590  | 4.540  | 0.000 | CMLS | R   |

| Alert Type | Active                   |                          |                          | Contact Information                 | Test Alert                      |
|------------|--------------------------|--------------------------|--------------------------|-------------------------------------|---------------------------------|
|            | Stock                    | Trade                    | News                     |                                     |                                 |
| SMS        | <input type="checkbox"/> | <input type="checkbox"/> |                          | Mobile No: <input type="text"/>     | <a href="#">SEND TEST ALERT</a> |
| Email      | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Email Address: <input type="text"/> | <a href="#">SEND TEST ALERT</a> |
| Web        |                          |                          |                          |                                     | <a href="#">SEND TEST ALERT</a> |

I would like to update the above Mobile No. &/or Email Address to my official records with Lim & Tan Securities. This would supersede the Mobile No. &/or Email Address which I have provided previously. I also understand that these would be used for sending a verification email and One-time password(mobile) for the issuance of electronic password for my account(s).

[SAVE](#)

NOTE:  
- For Del