

# Specified Investment Products (SIP) Online Education User Guide



Please login to your account.

Userid

Password

LOGIN

[Forgot Password](#)

[System Requirements](#)

CREATE AN ACCOUNT

Please contact [support@knowledgeplatform.com](mailto:support@knowledgeplatform.com) for any queries.



**Security Advisory**

[Click to find out more >>](#)

[Terms of Service](#)

Register here for new users



Investor Registration

NRIC Type\* IC

NRIC/Passport No\*

- Please enter a valid NRIC number. e.g "A1234567A" or "123456-12-1234" where characters should be capital.
- Local Clients need to submit NRIC for registration.
- Foreign Clients need to submit Passport Number for Registration.
- Client should select whether he is going to use NRIC or Passport for Registration on LMS.

Hand Phone Number\* + -

△ Your password will be sent to this handphone number.

Primary Broker Firm\* -Please Select One-

You only need to indicate any one of your broker firms who is in the list.

Secondary Broker Firm

- CGS-CIMB Securities (Singapore) Pte Ltd.
- DBS Vickers Securities Singapore Pte Ltd
- G K Goh Financial Services S Pte Ltd
- KGI Futures (Singapore) Pte. Ltd.
- ...

Hold down the Ctrl key for multiple selections.

First Name\*

Last Name/Surname\*

Fill in all mandatory categories for registration

Please select Lim & Tan Securities Pte Ltd



## Investor Registration

## Online Education Programme

Thank you for registering with us.

You have successfully registered. Login information is emailed and smsed to you.

LOGIN

Upon successful registration, this screen will be shown.

Please select **LOGIN** using

Userid : Sent to your registered email address

Password: Sent via SMS to your registered handphone no.

## Change Password

Password\*

New Password\*

Confirm Password\*

**Password must not be derived from words that are:**

1. Commonly used or found in the dictionary; or
2. Associated with a user's likes and interests known to his or her colleagues or friends.

**Passwords must contain at least three of the following criteria:**

1. One alphabetic character in small letter;
2. One alphabetic character in capital letter;
3. One numeric character; or
4. One special character
5. Passwords must be difficult to guess. For example, dictionary words, names, number sequence and passwords associated to personal details, such as Identification Card numbers, should not be used.

SAVE

CANCEL

Upon first successful login, you will be prompted to change the password.

Click  once you have completed the change.



## Online Education Programme

Please enter One Time Password (OTP) and click on "Submit" to proceed. The OTP is sent to your registered hand phone number: <\*\*\*\*\*3190>

OTP Token:

SUBMIT

CANCEL

Resend OTP

Key in the OTP and click

SUBMIT

OTP : Sent via SMS to your registered  
handphone no.

User Disclaimer

Welcome to SGX Online Education on SGX-Listed Specified Investment Products

**Introduction**

Some retail investment products are more complex than others and have structures and features that may be difficult to understand. They may also bear a higher risk of loss. To alert retail investors, the Monetary Authority of Singapore has categorised such products as "Specified Investment Products" (**SIPs**). Some SIPs are listed on an exchange like SGX, while others are unlisted.

Examples of SIPs listed and traded on SGX securities market are:

- Exchange Traded Funds (ETFs)
- Exchange Traded Notes (ETNs)
- Daily Leverage Certificates (DLCs)
- Structured Warrants
- Leveraged and Inverse Products

Examples of SIPs listed and traded on SGX derivatives market are:

- Futures
- Options

If in doubt, please check with your broker to find out whether a product is a SIP.

**SGX Online Education Programme (SGX-Listed SIPs Only)**

Your broker has the obligation to assess if you have the relevant knowledge or experience to trade SGX-listed SIPs.

If your broker assesses that you may not have the requisite knowledge, or if you want to learn more about SGX-listed SIPs, you may wish to go through the SGX Online Education Programme (**Programme**). The Programme covers the features and risks of SGX-listed SIPs, including 20 questions interspersed within the content to help you better understand these products. The Programme does not cover SIPs which are not listed on SGX.

Completing the Programme and answering the questions correctly may indicate that you have a basic understanding of SGX-listed SIPs, but does not necessarily mean that you have a good understanding of the particular SIP(s) you wish to trade in. Hence, after your completion of the Programme, please approach your broker so that it can re-assess whether you have the relevant knowledge to trade listed SIPs. **Please note that it is your broker which has the sole discretion to decide whether to approve your transactions in SGX-listed SIPs and transact those SIPs for you.**

**Disclaimers**

This Programme and the information contained herein (**Programme Content**) are for educational purposes only and do not constitute an offer or solicitation to buy or sell, nor any financial advice, recommendation or endorsement for, any SIP or other investment product. The Programme and Programme Content do not address or take into account your specific investment objectives, financial situation, risk tolerance level or particular needs, or those of any other person. **ADVICE SHOULD BE SOUGHT FROM YOUR BROKER OR FINANCIAL ADVISER REGARDING THE SUITABILITY OF ANY SIP OR OTHER INVESTMENT PRODUCT BEFORE YOU INVEST OR ADOPT ANY INVESTMENT STRATEGY.** Your use of and/or reliance on the Programme and Programme Content is entirely at your own risk.

Investment products, including all SIPs, are subject to risks. In particular, the risk of loss in trading leveraged products can be substantial. You may sustain losses in excess of your principal amount/initial funds, and may be called upon to deposit additional margin funds at short notice. If the required funds are not provided within the prescribed time, your positions may be liquidated and result in losses. The resulting deficits in your account may be subject to penalty charges. The value of investments denominated in foreign currencies may diminish or increase due to changes in the rates of exchange. You should also be aware of the commissions and finance costs involved in trading leveraged products. SIPs are for investors who have a high risk tolerance and who are willing to accept the risk of substantial losses, possibly within a very short time frame. SIPs may not be suitable for investors whose investment objective is preservation of capital and/or whose risk tolerance is low. Investors should have sufficient understanding of the investment product (including any SIP) prior to investing and should possess either a high level of knowledge or sufficient trading experience to properly evaluate and assess the product structure, associated risks, valuation, costs and expected returns.

**PAST PERFORMANCE OF INVESTMENT PRODUCTS IS NOT INDICATIVE OF THEIR FUTURE OR LIKELY PERFORMANCE.** Any and all examples, calculations, projections, forecast or simulation of results provided in the Programme Content are for illustrative purposes only.

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I have read, understood and accept the above (including the disclaimers). Allow me to proceed further.

ACCEPT DENIED

Please check the box and click **ACCEPT**



### My Courses

Please click on the Course link below to access the course contents.

**Title**

– SGX SIP Online Education Module

Please click on SGX SIP Online Education Module to access the course contents

**Code** SGXSIP1

**Status** Not Attempted

**Start Date** 2019-04-01

**Expiry Date**

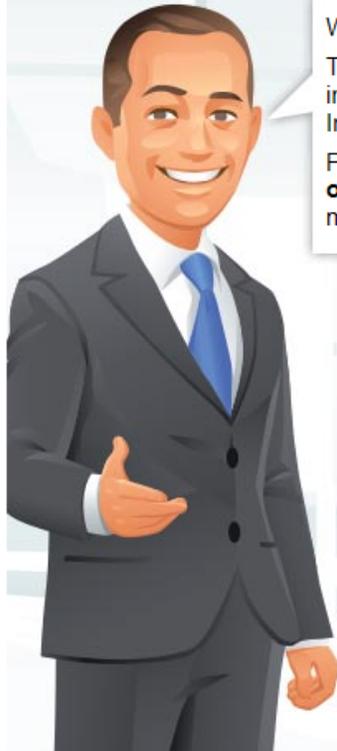
**SGX**

**SGX SIP Online Education Module**

**Begin**

Click "Begin" to start on the SGX SIP Online Education Module

SGX SIP Online Education Module



Welcome!

The objective of this module is to equip you with the investment knowledge to trade in SGX-listed Specified Investment Products (SIPs).

Please review key information and correctly answer **15 out of 20 questions (75%)** to successfully complete this module.

**i** Click the highlighted Chapter to begin.

Exchange Traded Funds (ETFs)

Exchange Traded Notes (ETNs)

Futures

Structured Warrants and Options

Daily Leverage Certificates (DLCs)

Leveraged Inverse Products

Module Completion

**Investor Suitability**

*SIPs are for investors who have a high risk tolerance and who are willing to accept the risk of substantial losses, possibly within a very short time frame. SIPs may not be suitable for investors whose investment objective is preservation of capital and/or whose risk tolerance is low. Investors should have sufficient understanding of the investment product and should possess either a high level of knowledge or sufficient trading experience to properly evaluate and assess the product structure, associated risks, valuation, costs and expected returns.*





## Certificate of Successful Completion

Awarded to

For the successful completion of the  
**SGX SIP Online Education Module**  
with a score of 75% on 4/1/2019

After the completion of the education module, a certificate of successful completion will be awarded for score 75% and above.