



APPLICATION FORM FOR TRADING IN US MARKETS via limtan.com.sg

- 1. NO ACCOUNT IS ALLOWED TO BE OPENED FOR CITIZEN/ RESIDENT OF UNITED STATES OF AMERICA, NORTH KOREA, ETHIOPIA, IRAN, IRAQ, SERBIA, SRI LANKA, TRINIDAD AND TOBAGO, TUNISIA, SYRIA, VANUATU AND YEMEN
- 2. FOR PERSONS OTHER THAN THOSE CITED IN POINT 1, A COMPLETED/ SIGNED W-8BEN FORM MUST ACCOMPANY THIS SUBMISSION.

I)	PERSONAL DE	TAILS	3																	
		Mr/N	/Irs/I	Dr/M	dm/l	Miss														
Name :																				
(as in NRIC/Passport)																				
NRIC/Passport No :																				
Trading Account No:																				
II)	LIVE U.S MAF	RKET	DA	TA S	SUB	SCR	IPTI	ON												
Occı	upation :																			
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(ii) e	ngaged as an Inve	stmer	nt Ac	dviso	r?															
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	employed by an org		ıtion	that	is e	xem	pt fr	om l	J.S.	secu	ıritie	s lav	vs th	at w	ould	othe	erwis	е		
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(iv)	a business or com	merci	al e	ntity	?															
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delayed U.S. market data free of charge. Kindly note that if you are a Securities Professional, you will not be entitled to any promotions related to free access to real time U.S. market data. I am a Securities Professional, I would like to subscribe to real time U.S. market data. Yes No Note: If you are not a Securities Professional, you will be eligible for promotions related to free access to U.S. market data. **DECLARATION:** I confirm that I have read and understood the nature and contents of the Risk Disclosure Statement and the FAQs on 'Trading in US Stocks' posted on the website of Lim & Tan Securities Pte Ltd <www.limtan.com.sg>. Signature of Client Date For Office Use - Client Services / Operations Dept 1. Walk-in client: Yes / No If yes, verified NRIC/Passport no.? Yes / No If no, verified signature against existing record? Yes / No 2. W-8Ben Form received? Yes / No 3. Has Client acknowledged the RWS? Yes / No If no, please inform client Verified by:_____ Date:___

If you have ticked "Yes" to any of the above questions, you are considered a **Securities Professional** according to U.S. markets' definition. A monthly charge of USD51 subject to prevailing GST rate is payable should you choose to subscribe to real time U.S. market data. Alternatively, you may access